Leby certify that this correspondence is being filed by spositing it with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Application Number, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Graff-P1-03

Date: September 9, 2003

Signed: Provide Reg. No. 32 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : GRAFF, Richard A.

Serial No. : 10/611,305

Filed : June 30, 2003

For : COMPUTER SUPPORT FOR VALUING AND TRADING

SECURITIES THAT PRODUCE MOSTLY TAX-EXEMPT

INCOME

Group Art Unit :

Examiner :

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the following:

1. Preliminary Amendment.

authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: September 9, 2003

Peter K. Trzyna (Reg. No. 32,601)

P.O. Box 7131 Chicago, IL 60680-7131 (312) 240-0824 I herefore certify that this correspondence is being filed by consisting it with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Application Number, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Graff-P1-03

Date: September 9, 2003

Signed:

Peter K. Trzyna (Reg. No. 82,601)

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Examiner

:

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

PRELIMINARY AMENDMENT

SIR:

Please enter the amendment as set forth below.